INITIAL CONSULTATION – PARTICIPANT RESPONSE PACK

MSATS PROCEDURES:

Consumer Administration and Transfer Solution (CATS) Procedure Principles and Obligations Version 3.9

Procedure for the Management of Wholesale and Interconnector, Generator and Sample (WIGS) NMIs Version 3.9

Participant: Origin

Completion Date: 29/8/2013

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1. Proposed Changes

This section lists the changes proposed by participants or by AEMO since the last completed consultation MSATS Procedures:

- **Section A covers the proposed changes to the CATS Procedure Version 3.9**

*NOTE: **All proposed additions to the MSATS Procedures are highlighted in red colour text and are underlined. All proposed deletions from the MSATS Procedures are highlighted in red strike through text. Example: Reference.***

Please include your comments in the 'Participant Comment' column below.

1.1   Proposed Changes to the CATS Procedure

Item	QC ID[1]	Description	Category	Participant Comments
1		**PROPOSED / REQUESTED CHANGES**		

1.1	QC 853	**Clause 4.7 – Objection Codes**
		Changes to Clause 4.7
		
		(a) The objection codes allow CATS participants to object to a change request.
		
		(b) The objection codes are applied to each jurisdiction and each change reason code in accordance with the objection rules.
		
		(c) The valid objection codes are specified in Table 4-D**Error! Reference source not found.**.
		
		    Table 4-D – Objection codes
		
		    | **Code** | **Description** |
			Procedure Only	The following changes are suggested to the wording for 'CONTRACT':
				
				This code ~~can~~ is also ~~be~~ used for the purposes of limiting the initial volume of customer transfers for small ~~retail~~ customers in Tasmania from 1 January 2014 (until further ~~notice~~ advice is received ~~from the Tasmanian Government~~). The Financially Responsible Market Participant will be responsible for lodging the objection based on advice from…AEMO *please.*

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[1] The BMRG uses the "Industry" Quality Centre (QC) database as a tool to manage its enhancements.

Item	QC ID[1]	Description				Category	Participant Comments
			BADDATA	Incorrect standing data for this NMI			*specify* Move in transfers (CR1030 and CR1040) will not be subject to this limitation.
				For use by a party to indicate that the standing data for this NMI is incorrect (either on the change request or on the master record).			
				This code should be used to register an objection for any incorrect data excluding the current role. If the participant nominated in the current role is incorrect, then they should use the NOTRESP objection code.			
			BADMETER	Non-compliant metering			
				The metering equipment for this connection point is not correct, (i.e. correct metering for change to proceed not installed yet).			
			BADPARTY	Nominated MDP or MP is incorrect			
				For use by the new RP on retail transfer type transactions where the FRMP has nominated the wrong MDP or MP (i.e. not the one nominated by the RP).			
				Note that the MP referred to here can be MPB or MPC.			
				Or			
				The RP nominated is not the FRMP's choice of RP as per NER 7.2.3, noting any restrictions from Chapter 9 of the Rules.			

Item	QC ID[1]	Description			Category	Participant Comments
		DATEBAD (1)	This objection code is used where the date of change nominated for a change of retailer does not align with a proposed or actual meter read. This code is usually only used for type 5 or 6 metering installations. This objection can also be used for error correction transactions (CR 102X) by the current FRMP if the proposed date or actual change date on the error correction transaction is not correct.			
		DEBT	Aged debt meeting jurisdictional limit Jurisdictions which have allowed objections on the basis of bad debt will need to ensure that the use of this code will not breach privacy legislation.			
		DECLINED	Identified party declines to perform service For use by nominated new party to indicate that they decline to act in the role they have been nominated for.			
		NOACC (2)	No Meter read can be obtained due to an issue of no access. For use by relevant MDP to notify FRMP that a no access issue has been encountered when attempting to gain a meter read required for a NMI transfer. This Objection should only be raised against Manually Read Meters.			
		NOTAPRD	Not Approved to operate in the LNSP area The meter provider is not accredited or authorised to operate in a LNSP area. The same objection could be used for any participant who is not approved to operate in a particular jurisdiction. This code is used by the LNSP.			

Item	QC ID[1]	Description			Category	Participant Comments
		NOTPRUD	No Prudential Approval AEMO has not approved the transaction for prudential reasons.			
		NOTRESP	Not Responsible for NMI in the identified role For use by a nominated current party to indicate that the data in the central database is incorrect because they are not the current party.			
		RETRO	Retrospective Transfer Issue Party does not agree to a retrospective transfer.			
		BLOCK	AEMO objection AEMO has objected to the transaction either at the request of a jurisdiction or for operational reasons.			
		CONTRACT	This objection code is used by the Financially Responsible Market Participant where a customer transfer is sought in Queensland prior to the termination or end date of a term contract for supply of electricity. This code can also be used for the purposes of limiting the initial volume of customer transfers for small retail customers in Tasmania from 1 January 2014 (until further notice from the Tasmanian Government). This code is only available for use in Queensland.			
		NOTRANS	Objection code to be used by the Old (Current) FRMP after a check has been done to find out if there was a previous retail transfer in MSATS for the NMI concerned that now requires an error correction transaction. Object using this code if no previous retail transfer is found.			

Item	QC ID[1]	Description			Category	Participant Comments
		NOTAWARE	Objection code to be used by the Old (Current) FRMP when they have not received a B2B (business to business) notification from the new FRMP (party that will initiate the CR 102x – error correction code) for the intended error correction transaction.			
		CRCODE	This objection code can be used if the change reason code being used does not apply to the NMI concerned.			

Note (1):   This could be used as a result of a PR read type code, where the proposed change date (being the retrospective previous read) does not align with the actual read date held by the MPB or MDP.

Note (2):   Objections for "NOACC" are not subject to objection logging or clearing periods. A valid Actual Change Date being entered against a Change Request with an objection of "NOACC" will withdraw any "NOACC" objections.

Item	QC ID	Description	Category	Participant Comments
1.2	QC 853	**Clause 6.10 – Objection Rules** Changes to Clause 6.10 (a) The valid objection rules for the change reason codes identified in clause 6.1 are specified in Table 6-B. **Table 6-B – Objection rules**[**]	**Procedure Only**	Agree

CR Code 1000 – Change Retailer

Objection Code	NMI Class	Jur'n	FRMP		LR		MDP		MPB		ROLR		RP		LNSP	
			N	C	N	C	N	C	N	C	N	C	N	C	N	C
BADMETER	ALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes

Item	QC ID[1]	Description																	Category	Participant Comments

Nested objection table:

Objection Code	NMI Class	Jur'n	FRMP		LR		MDP		MPB		ROLR		RP		LNSP	
			N	C	N	C	N	C	N	C	N	C	N	C	N	C
BADPARTY	ALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
DECLINED	ALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-
NOTAPRD	ALL	ALL	-	-	-	-		-	-	-	-	-	-	-	-	Yes
DATEBAD	ALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-
NOACC	SMALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-
DEBT	ALL / SMALL	QLD / VIC	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-
CONTRACT	LARGE	QLD	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-
CONTRACT	*SMALL*	*TAS*	*-*	*Yes*	*-*	*-*	*-*	*-*	*-*	*-*	*-*	*-*	*-*	*-*	*-*	*-*

CR Code 1010 – Change Retailer - Retrospective Align to Meter Read

Objection Code	NMI Class	Jur'n	FRMP		LR		MDP		MPB		ROLR		RP		LNSP	
			N	C	N	C	N	C	N	C	N	C	N	C	N	C
BADMETER	SMALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes
BADPARTY	SMALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
DECLIN	SMALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-

Item	QC ID[1]	Description																Category	Participant Comments
		ED																	
		NOTAPRD	SMALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes		
		DATEBAD	SMALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-		
		DEBT	SMALL	QLD / VIC	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-		
		RETRO	SMALL	ALL	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-		
		CONTRACT	SMALL	TAS	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-		

CR Code 1020 – Change Retailer-Retro-Long Term / Error (not SMALL)

Objection Code	NMI Class	Jur'n	FRMP		LR		MDP		MPB		ROLR		RP		LNSP	
			N	C	N	C	N	C	N	C	N	C	N	C	N	C
BADMETER	LARGE	ALL	-	-	-	-	Yes	Yes	-	-	-	-	Yes	-	-	Yes
BADPARTY	LARGE	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
DECLINED	LARGE	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-
NOTAPRD	LARGE	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes
DATEBA	LARG	ALL	-	-	-	-	Yes	Ye	-	-	-	-	-	-	-	-

Item	QC ID[1]	Description	Category	Participant Comments

Objection Code	NMI Class	Jur'n	FRMP N	FRMP C	LR N	LR C	MDP N	MDP C	MPB N	MPB C	ROLR N	ROLR C	RP N	RP C	LNSP N	LNSP C
D	E							s								
RETRO	LARGE	ALL	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-
DEBT	LARGE	QLD	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-
CONTRACT	LARGE	QLD	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-

CR Code 1030 – Change Retailer - Move-In

Objection Code	NMI Class	Jur'n	FRMP N	FRMP C	LR N	LR C	MDP N	MDP C	MPB N	MPB C	ROLR N	ROLR C	RP N	RP C	LNSP N	LNSP C
BADMETER	ALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes
BADPARTY	ALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
DECLINED	ALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-
NOTAPRD	ALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes
NOACC	SMALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-

CR Code 1040 – Change Retailer - Move-In - Retrospective

Objection Code	NMI Class	Jur'n	FRMP N	FRMP C	LR N	LR C	MDP N	MDP C	MPB N	MPB C	ROLR N	ROLR C	RP N	RP C	LNSP N	LNSP C
BADMET	ALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes

Item	QC ID[1]	Description																		Category	Participant Comments
		ER																			
		BADPARTY	ALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-		
		DECLINED	ALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-		
		NOTAPRD	ALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes		
		DATEBAD	ALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-		
		NOACC	SMALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-		

** N = New role, C = Current role.

Item	QC ID[1]	Description	Category	Participant Comments
1.3	N/A	**Effective Date of the CATS Procedures** The proposed effective date of the CATS Procedures is 1 January 2014. **Procedure Cover Page:** Effective Date: 1 January 2014 **Disclaimer** This document is made available to you on the following terms and conditions. If you do not agree to the terms and conditions please notify AEMO on telephone 1300 858 724 ~~(02) 9239 9199~~ and immediately return this document to AEMO, Level 22, 530 Collins St, Melbourne, VIC 3000. ~~Norwich House, Level 22, 6 – 10 O'Connell Street, Sydney, NSW 2000.~~	**Procedure Only**	Agree

1.2 Proposed Changes to the WIGS Procedure

Item	QC ID[2]	Description	Category	Participant Comments
1		**PROPOSED / REQUESTED CHANGES**		
1.1	N/A	**Effective Date of the WIGS Procedures** The proposed effective date of the WIGS Procedures is 1 January 2014. **Procedure Cover Page:** Effective Date: 1 January 2014 **Disclaimer** This document is made available to you on the following terms and conditions. If you do not agree to the terms and conditions please notify AEMO on telephone 1300 858 724 ~~(02) 9239 9199~~ and immediately return this document to AEMO, Level 22, 530 Collins St, Melbourne, VIC 3000. ~~Norwich House, Level 22, 6 – 10 O'Connell Street, Sydney, NSW 2000.~~	**Procedure only**	Agree

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[2] The BMRG uses the "Industry" Quality Centre (QC) database as a tool to manage its enhancements.