

CHANGE PACK – INITIAL CONSULTATION

MSATS PROCEDURES:

Consumer Administration and Transfer Solution
(CATS) Procedure Principles and Obligations Version
3.9

Procedure for the Management of Wholesale,
Interconnector, Generator and Sample (WIGS) NMI's
Version 3.9

PREPARED FOR: National Electricity Market
PREPARED BY: Retail Markets and Metering
VERSION NO: 1.00
DATE: 01/01/2014

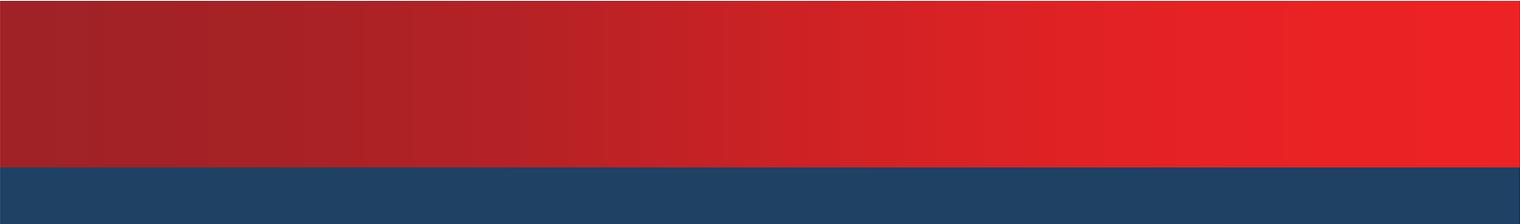


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1. Background

AEMO utilises a central system called Market Settlement and Transfer Solution (MSATS) to manage consumer transfers. MSATS also administers notifications of transactions to market participants and retains the data needed to facilitate wholesale settlement. The MSATS Procedures have been in operation since 1 January 2002 and have been revised a number of times to support ongoing business improvements.

The recommended process improvements under consultation will become MSATS Procedures:

- Consumer Administration and Transfer Solution (CATS) Procedure Principles and Obligations Version 3.9,
- Procedure for the Management of Wholesale, Interconnector, Generator and Sample (WIGS) NMLs Version 3.9.

Scope of Changes

The proposed changes under consultation have been developed as a result of a request from the Tasmanian Government and relate to:

- **QC 853 – MSATS CHANGE FOR TASMANIAN FRC**

This change will provide a mechanism to enable churn restrictions for small residential sites within Tasmania.

Within the initial months of Full Retailer Contestability, it is the intention of the Tasmanian Government to limit the number of transfers in the initial months to allow end-consumers the opportunity to adapt to the change in market conditions. The proposed changes will be temporary until such time that the Tasmanian Government determines that the market has settled in line with the reforms, and will initiate the removal of these changes accordingly. The Tasmanian Government has indicated that this transitional period will last no more than 12 months.

This document lists the proposed changes to the CATS Procedure, WIGS Procedure and associated MSATS configuration rules (if any).

2. Purpose of this document

This document proposes changes to the MSATS Procedures. AEMO issued the Final Determination for the most recent consultation on 12 August 2013 for **MSATS Procedures: CATS Procedure Version 3.8** and **WIGS Procedures Version 3.8**. These changes are due to take effect as of 13 November 2013. The consultation is available on AEMO's website.

Participants are requested to review the item/s under consultation and provide any comments in accordance with the National Electricity Rules consultation process, reflected in the Notice of Consultation issued by AEMO.

3. The Consultation Process

The process and date plan for the changes proposed in this document is as follows:

Action	Start Date	End Date	Notes
Issue Notice of Consultation	16/08/2013	16/08/2013	
Participant submissions are to be provided to AEMO	19/08/2013	23/09/2013	within 25 business days after the Notice of Consultation is issued
AEMO considers all valid submissions and shall create the Draft Determination report (including the change marked MSATS Procedure version 3.8	24/09/2013	17/10/2013	within 20 business days of the submission close date
AEMO Publish Draft Determination and Report	21/10/2013	21/10/2013	
Participant submissions are to be provided to AEMO	23/10/2013	06/11/2013	within 10 business days after the Draft Determination is published
AEMO considers all valid submissions and shall create the Final Determination report (including the change marked and clean versions of the MSATS Procedure version 3.8	07/11/2013	19/12/2013	within 30 business days of the submission close date
AEMO Publish Final Determination	20/12/2013	20/12/2013	
Proposed Effective Date of the MSATS Procedures 3.9	01/01/2014	01/01/2014	

4. Proposed Changes

This section lists the changes proposed by participants or by AEMO since the last completed consultation *MSATS Procedures*:

- *Section 4.1 covers the proposed changes to the CATS Procedure Version 3.8*

NOTE: All proposed additions to the MSATS Procedures are highlighted in red colour text and are underlined. All proposed deletions from the MSATS Procedures are highlighted in red strike through text. Example: ~~Reference~~.

4.1 Proposed Changes to the CATS Procedure

Item	QC ID ¹	Description	Category		
1		PROPOSED / REQUESTED CHANGES			
1.1	QC 853	Clause 4.7 – Objection Codes Changes to Clause 4.7 (a) The objection codes allow CATS participants to object to a change request. (b) The objection codes are applied to each jurisdiction and each change reason code in accordance with the objection rules. (c) The valid objection codes are specified in Table 4-D. Table 4-D – Objection codes <table border="1"><thead><tr><th>Code</th><th>Description</th></tr></thead></table>	Code	Description	Procedure Only
Code	Description				

¹ The BMRG uses the "Industry" Quality Centre (QC) database as a tool to manage its enhancements.

Item	QC ID ¹	Description		Category
			<p>BADDATA</p> <p>Incorrect standing data for this NMI</p> <p>For use by a party to indicate that the standing data for this NMI is incorrect (either on the change request or on the master record).</p> <p>This code should be used to register an objection for any incorrect data excluding the current role. If the participant nominated in the current role is incorrect, then they should use the NOTRESP objection code.</p>	
			<p>BADMETER</p> <p>Non-compliant metering</p> <p>The metering equipment for this connection point is not correct, (i.e. correct metering for change to proceed not installed yet).</p>	
			<p>BADPARTY</p> <p>Nominated MDP or MP is incorrect</p> <p>For use by the new RP on retail transfer type transactions where the FRMP has nominated the wrong MDP or MP (i.e. not the one nominated by the RP).</p> <p>Note that the MP referred to here can be MPB or MPC.</p> <p>Or</p> <p>The RP nominated is not the FRMP's choice of RP as per NER 7.2.3, noting any restrictions from Chapter 9 of the Rules.</p>	

Item	QC ID ¹	Description		Category
			<p>DATEBAD (1) This objection code is used where the date of change nominated for a change of retailer does not align with a proposed or actual meter read. This code is usually only used for type 5 or 6 metering installations.</p> <p>This objection can also be used for error correction transactions (CR 102X) by the current FRMP if the proposed date or actual change date on the error correction transaction is not correct.</p>	
			<p>DEBT Aged debt meeting jurisdictional limit</p> <p>Jurisdictions which have allowed objections on the basis of bad debt will need to ensure that the use of this code will not breach privacy legislation.</p>	
			<p>DECLINED Identified party declines to perform service</p> <p>For use by nominated new party to indicate that they decline to act in the role they have been nominated for.</p>	
			<p>NOACC (2) No Meter read can be obtained due to an issue of no access.</p> <p>For use by relevant MDP to notify FRMP that a no access issue has been encountered when attempting to gain a meter read required for a NMI transfer.</p> <p>This Objection should only be raised against Manually Read Meters.</p>	

Item	QC ID ¹	Description	Category
		<p>NOTAPRD</p> <p>Not Approved to operate in the LNSP area The meter provider is not accredited or authorised to operate in a LNSP area. The same objection could be used for any participant who is not approved to operate in a particular jurisdiction. This code is used by the LNSP.</p>	
		<p>NOTPRUD</p> <p>No Prudential Approval AEMO has not approved the transaction for prudential reasons.</p>	
		<p>NOTRESP</p> <p>Not Responsible for NMI in the identified role For use by a nominated current party to indicate that the data in the central database is incorrect because they are not the current party.</p>	
		<p>RETRO</p> <p>Retrospective Transfer Issue Party does not agree to a retrospective transfer.</p>	
		<p>BLOCK</p> <p>AEMO objection AEMO has objected to the transaction either at the request of a jurisdiction or for operational reasons. See Section 2.11</p>	

Item	QC ID ¹	Description	Category								
		<table border="1"> <tr> <td data-bbox="651 336 869 676">CONTRACT</td> <td data-bbox="869 336 1653 676"> <p>This objection code is used by the Financially Responsible Market Participant where a customer transfer is sought <u>in Queensland</u> prior to the termination or end date of a term contract for supply of electricity. <u>This code can also be used for the purposes of limiting the initial volume of customer transfers for small retail customers in Tasmania from 1 January 2014 (until further notice from the Tasmanian Government).</u></p> <p>This code is only available for use in Queensland.</p> </td> </tr> <tr> <td data-bbox="651 676 869 868">NOTRANS</td> <td data-bbox="869 676 1653 868"> <p>Objection code to be used by the Old (Current) FRMP after a check has been done to find out if there was a previous retail transfer in MSATS for the NMI concerned that now requires an error correction transaction. Object using this code if no previous retail transfer is found.</p> </td> </tr> <tr> <td data-bbox="651 868 869 1059">NOTAWARE</td> <td data-bbox="869 868 1653 1059"> <p>Objection code to be used by the Old (Current) FRMP when they have not received a B2B (business to business) notification from the new FRMP (party that will initiate the CR 102x – error correction code) for the intended error correction transaction.</p> </td> </tr> <tr> <td data-bbox="651 1059 869 1147">CRCODE</td> <td data-bbox="869 1059 1653 1147"> <p>This objection code can be used if the change reason code being used does not apply to the NMI concerned.</p> </td> </tr> </table> <p>Note (1): This could be used as a result of a PR read type code, where the proposed change date (being the retrospective previous read) does not align with the actual read date held by the MPB or MDP.</p> <p>Note (2): Objections for “NOACC” are not subject to objection logging or clearing periods. A valid Actual Change Date being entered against a Change Request with an objection of “NOACC” will withdraw any “NOACC” objections.</p>	CONTRACT	<p>This objection code is used by the Financially Responsible Market Participant where a customer transfer is sought <u>in Queensland</u> prior to the termination or end date of a term contract for supply of electricity. <u>This code can also be used for the purposes of limiting the initial volume of customer transfers for small retail customers in Tasmania from 1 January 2014 (until further notice from the Tasmanian Government).</u></p> <p>This code is only available for use in Queensland.</p>	NOTRANS	<p>Objection code to be used by the Old (Current) FRMP after a check has been done to find out if there was a previous retail transfer in MSATS for the NMI concerned that now requires an error correction transaction. Object using this code if no previous retail transfer is found.</p>	NOTAWARE	<p>Objection code to be used by the Old (Current) FRMP when they have not received a B2B (business to business) notification from the new FRMP (party that will initiate the CR 102x – error correction code) for the intended error correction transaction.</p>	CRCODE	<p>This objection code can be used if the change reason code being used does not apply to the NMI concerned.</p>	
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1.2	QC 853	<p>Clause 6.10 – Objection Rules</p> <p>Changes to Clause 6.10</p> <p>(a) The valid objection rules for the change reason codes identified in clause 6.1 are specified in Table 6-B.</p> <p>Table 6-B – Objection rules **</p> <table border="1"> <thead> <tr> <th rowspan="3">Objection Code</th> <th colspan="16">CR Code 1000 – Change Retailer</th> </tr> <tr> <th rowspan="2">NMI Classes</th> <th rowspan="2">Jur' n</th> <th colspan="2">FRMP</th> <th colspan="2">LR</th> <th colspan="2">MDP</th> <th colspan="2">MPB</th> <th colspan="2">ROL R</th> <th colspan="2">RP</th> <th colspan="2">LNSP</th> </tr> <tr> <th>N</th> <th>C</th> </tr> </thead> <tbody> <tr> <td>BADMETER</td> <td>ALL</td> <td>ALL</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>Yes</td> <td>Yes</td> <td>Yes</td> <td>-</td> <td>-</td> <td>Yes</td> <td>-</td> <td>-</td> <td>Yes</td> </tr> <tr> <td>BADPARTY</td> <td>ALL</td> <td>ALL</td> <td>-</td> <td>Yes</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td>DECLINED</td> <td>ALL</td> <td>ALL</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td>NOTAPRD</td> <td>ALL</td> <td>ALL</td> <td>-</td> <td>Yes</td> </tr> <tr> <td>DATEBAD</td> <td>ALL</td> <td>ALL</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>Yes</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td>NOACC</td> <td>SMALL</td> <td>ALL</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>Yes</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td rowspan="2">DEBT</td> <td>ALL</td> <td>QLD</td> <td>-</td> <td>Yes</td> <td>-</td> </tr> <tr> <td>SMALL</td> <td>VIC</td> <td>-</td> <td>Yes</td> <td>-</td> </tr> </tbody> </table>	Objection Code	CR Code 1000 – Change Retailer																NMI Classes	Jur' n	FRMP		LR		MDP		MPB		ROL R		RP		LNSP		N	C	N	C	N	C	N	C	N	C	N	C	N	C	BADMETER	ALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes	BADPARTY	ALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-	DECLINED	ALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-	NOTAPRD	ALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes	DATEBAD	ALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-	NOACC	SMALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-	DEBT	ALL	QLD	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-	SMALL	VIC	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-	Procedure Only
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			CR Code 1010 – Change Retailer - Retrospective Align to Meter Read																
			Objecti n Code	NMI Clas s	Jur' n	FRMP		LR		MDP		MPB		ROL R		RP		LNSP	
						N	C	N	C	N	C	N	C	N	C	N	C	N	C
		BADMETER	SMALL	ALL	-	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes
		BADPARTY	SMALL	ALL	-	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
		DECLINE	SMALL	ALL	-	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-
		NOTAPRD	SMALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes
		DATEBA	SMALL	ALL	-	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-
		DEBT	SMALL	QLD VIC	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-
		RETRO	SMALL	ALL	-	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-
		<u>CONTRACT</u>	<u>SMALL</u>	<u>TAS</u>	:	<u>Yes</u>	:	:	:	:	:	:	:	:	:	:	:	:	:

Item	QC ID ¹	Description	CR Code 1020 – Change Retailer-Retro-Long Term / Error (not SMALL)														Category
Objectio n Code	NMI Clas s	Jur' n	FRMP		LR		MDP		MPB		ROL R		RP		LNSP		
			N	C	N	C	N	C	N	C	N	C	N	C			
BADMET ER	LARG E	ALL	-	-	-	-	Yes	Ye s	-	-	-	-	Ye s	-	-	Yes	
BADPAR TY	LARG E	ALL	-	-	-	-	-	-	-	-	-	-	Ye s	-	-	-	
DECLINE D	LARG E	ALL	-	-	-	-	Yes	-	-	-	-	-	Ye s	-	-	-	
NOTAPR D	LARG E	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes	
DATEBA D	LARG E	ALL	-	-	-	-	Yes	Ye s	-	-	-	-	-	-	-	-	
RETRO	LARG E	ALL	-	Ye s	-	-	-	-	-	-	-	-	-	-	-	-	
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Item	QC ID ¹	Description																Category	
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			BADPAR TY	ALL	ALL	-	-	-	-	-	-	-	-	-	Yes	-	-	-	
			DECLINE D	ALL	ALL	-	-	-	-	Yes	-	-	-	-	Yes	-	-	-	
			NOTAPR D	ALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	Yes	
			NOACC	SMAL L	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	
			CR Code 1040 – Change Retailer - Move-In - Retrospective																
			Objectio n Code	NMI Clas s	Jur' n	FRMP		LR		MDP		MPB		ROL R		RP		LNSP	
						N	C	N	C	N	C	N	C	N	C	N	C	N	C
			BADMET ER	ALL	ALL	-	-	-	-	Yes	Yes	Yes	Yes	-	-	Yes	-	-	Yes
			BADPAR TY	ALL	ALL	-	-	-	-	-	-	-	-	-	-	Yes	-	-	-
			DECLINE D	ALL	ALL	-	-	-	-	Yes	-	-	-	-	-	Yes	-	-	-
			NOTAPR D	ALL	ALL	-	-	-	-	-	-	-	-	-	-	-	-	-	Yes
			DATEBA D	ALL	ALL	-	-	-	-	Yes	Yes	-	-	-	-	-	-	-	-

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		<table border="1"> <tr> <td>NOACC</td> <td>SMAL L</td> <td>ALL</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>Yes</td> <td>Ye s</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> </tr> </table> <p>** N = New role, C = Current role.</p>	NOACC	SMAL L	ALL	-	-	-	-	Yes	Ye s	-	-	-	-	-	-	-	-	-	
NOACC	SMAL L	ALL	-	-	-	-	Yes	Ye s	-	-	-	-	-	-	-	-	-				
1.3	N/A	<p>Effective Date of the CATS Procedures: The proposed effective date of the CATS Procedures is 1 January 2014.</p> <p>Procedure Cover Page: Effective Date: 1 January 2014</p> <p>Disclaimer: This document is made available to you on the following terms and conditions. If you do not agree to the terms and conditions please notify AEMO on telephone 1300 858 724 (02) 9239 9199 and immediately return this document to AEMO, Level 22, 530 Collins St, Melbourne, VIC 3000. Norwich House, Level 22, 6—10 O’Connell Street, Sydney, NSW 2000.</p>	Procedure Only																		

4.2 Proposed Changes to the WIGS Procedure

Item	QC ID ²	Description	Category
1		PROPOSED / REQUESTED CHANGES	
1.1	N/A	Effective Date of the WIGS Procedures The proposed effective date of the WIGS Procedures is <u>1 January 2014</u> . Procedure Cover Page: Effective Date: <u>1 January 2014</u> Disclaimer This document is made available to you on the following terms and conditions. If you do not agree to the terms and conditions please notify AEMO on telephone <u>1300 858 724 (02) 9239 9199</u> and immediately return this document to AEMO, <u>Level 22, 530 Collins St, Melbourne, VIC 3000. Norwich House, Level 22, 6 – 10 O’Connell Street, Sydney, NSW 2000.</u>	Procedure only

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